

# Synthesizing nested relational queries from implicit specifications

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**Output:** a *program*  $f$  obeying the specification

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  - One harder method for classical definitions
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  - Linear-time on *cut-free focused* proofs
- WIP: generalization to effective rigid categoricity

## **The nested relational model, logic and NRC**

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# The nested relational model

We work with **typed objects**

## Types for nested collections

$$T, U ::= \mathcal{U} \mid \text{Set}(T) \mid 1 \mid T \times U$$

Anonymous base type  $\mathcal{U}$

Semantics  $T \mapsto \llbracket T \rrbracket$  determined inductively by  $\llbracket \mathcal{U} \rrbracket$ :

- $\text{Set}(T)$ : sets of elements of type  $T$
- finite cartesian products  $- \times \dots \times -$

## Examples

Taking  $\llbracket \mathcal{U} \rrbracket = \text{string}$ , we have

$$\begin{aligned} \{(\text{"snake"}, \text{"slange"}), (\text{"pencil"}, \text{"blyant"}), \dots\} &\in \llbracket \text{Set}(\mathcal{U} \times \mathcal{U}) \rrbracket \\ \{(\{\text{"snake"}, \text{"serpent"}\}, \{\text{"slange"}, \text{"snog"}\}), \dots\} &\in \llbracket \text{Set}(\text{Set}(\mathcal{U}) \times \text{Set}(\mathcal{U})) \rrbracket \\ ((), \emptyset, \text{"snake"}, \{\text{"slange"}, \text{"snog"}\}) &\in \llbracket 1 \times \text{Set}(\text{Set}(1)) \times \mathcal{U} \times \text{Set}(\mathcal{U}) \rrbracket \end{aligned}$$

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Usual relational model: only tuples of relations (sets of tuples)

## Types for nested collections

$$T, U ::= \mathcal{U} \mid \text{Set}(T) \mid 1 \mid T \times U$$

A **transformation** of nested sets is a function  $T \rightarrow U$

$\rightarrow$  is **not** part of the type system

## A transformation of flat relations

Pre-image of a relation  $R$

$$\begin{array}{lll} \text{fib} : & \text{Set}(\mathcal{U}) \times \text{Set}(\mathcal{U} \times \mathcal{U}) & \rightarrow \text{Set}(\mathcal{U}) \\ & (A, R) & \mapsto R^{-1}(A) = \{x \mid \exists y \in A. (x, y) \in R\} \end{array}$$

## A transformation of nested collections

Collect all pre-images of individual elements

$$\begin{array}{lll} \text{fibs} : & \text{Set}(\mathcal{U} \times \mathcal{U}) & \rightarrow \text{Set}(\mathcal{U} \times \text{Set}(\mathcal{U})) \\ & R & \mapsto \{(a, \text{fib}(\{a\}, R)) \mid a \in \text{cod}(R)\} \end{array}$$



Queries can be specified in *multi-sorted* first-order logic:

- variables explicitly typed  $x : T$
- basic predicates  $x \in_T z$  and  $x =_T y$
- terms for tupling and projections

$x, y : T$  and  $z : \text{Set}(T)$

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Consider formulas with only **bounded quantifications**

## $\Delta_0$ formulas

$$\varphi, \psi ::= t =_T u \mid t \in_T u \mid \exists x \in t \varphi \mid \forall x \in t \varphi \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid \neg \varphi$$

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Example of **functional** and **total** specifications:

## $\varphi_{\text{fib}}(A, R, X)$ for $X = R^{-1}(A)$

- Every  $x \in X$  is related to some  $a \in A$
- For every  $(x, y) \in R$ , if  $y \in A$ , then  $x \in X$

$\forall x \in X. \exists a \in A. (x, a) \in R$

$\forall p \in R. \pi_2(p) \in A \Rightarrow \pi_1(p) \in X$

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Example of **functional** and **total** specifications:

$\varphi_{\text{fibs}}(R, O)$  for  $O = \{(a, R^{-1}(\{a\})) \mid a \in \text{cod}(R)\}$

- For every  $(x, a) \in R$ , there is some  $(a, X) \in O$  s.t.  $x \in X$

$$\forall p \in R. \exists q \in O. \pi_1(p) \in \pi_2(O)$$

- Every element of  $(a, X) \in O$  satisfies  $\varphi_{\text{fib}}(\{a\}, R, X)$

$$\forall q \in O. (\forall x \in \pi_2(q). (x, \pi_1(q)) \in R) \wedge (\forall p \in R. \pi_2(p) = \pi_1(q) \Rightarrow \pi_1(p) \in \pi_2(q))$$

## Our target for synthesis: the nested relational calculus (NRC)

Our programming language for nested transformations  $\Gamma \rightarrow T$

$$\begin{array}{c} \overline{\Gamma, x : T, \Gamma' \vdash x : T} \\ \\ \overline{\Gamma \vdash () : 1} \quad \frac{\Gamma \vdash e_1 : T_1 \quad \Gamma \vdash e_2 : T_2}{\Gamma \vdash \langle e_1, e_2 \rangle : T_1 \times T_2} \quad \frac{\Gamma \vdash e : T_1 \times T_2 \quad i \in \{1, 2\}}{\Gamma \vdash \pi_i(e) : T_i} \\ \\ \frac{\Gamma \vdash e : T}{\Gamma \vdash \{e\} : \text{Set}(T)} \quad \frac{\Gamma \vdash e_1 : \text{Set}(T_1) \quad \Gamma, x : T_1 \vdash e_2 : \text{Set}(T_2)}{\Gamma \vdash \bigcup \{e_2 \mid x \in e_1\} : \text{Set}(T_2)} \\ \\ \overline{\Gamma \vdash \emptyset_T : \text{Set}(T)} \quad \frac{\Gamma \vdash e_1 : \text{Set}(T) \quad \Gamma \vdash e_2 : \text{Set}(T)}{\Gamma \vdash e_1 \cup e_2 : \text{Set}(T)} \quad \frac{\Gamma \vdash e_1 : \text{Set}(T) \quad \Gamma \vdash e_2 : \text{Set}(T)}{\Gamma \vdash e_1 \setminus e_2 : \text{Set}(T)} \end{array}$$

## Our target for synthesis: the nested relational calculus (NRC) + Get

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## Our running examples

- $(A, R) \mapsto \bigcup \{ \text{case}(\pi_2(p) \in_{\text{in}} A, \{\pi_1(p)\}, \emptyset) \mid p \in R \}$
- $R \mapsto \bigcup \{ \{ \text{fib}(x, R) \} \mid x \in \{ \pi_1(p) \mid p \in R \} \}$

Derivable constructs:

- maps  $\{e_1(x) \mid x \in e_2\}$
- at type-level,  $\text{Bool} := \text{Set}(1)$
- basic predicates  $=_T: T \times T \rightarrow \text{Bool}$ ,  $\in_T: T \times \text{Set}(T) \rightarrow \text{Bool}$
- case analyses

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- case analyses
- $\Delta_0$ -separation  $\{x \in e \mid \varphi(x)\}$

## Proposition

NRC terms  $e : T \rightarrow \text{Bool}$  correspond exactly to  $\Delta_0$  formulas  $\varphi(x^T)$



## Extraction from $\Delta_0$ specifications

---

Recall that  $\varphi(i, o)$  is an implicit definition when it is functional:

$$\varphi(i, o) \wedge \varphi(i, o') \implies o = o'$$

### Extraction from $\Delta_0$ intuitionistic implicit definitions

For every such  $\varphi(i, o)$ , there is a compatible NRC term  $e(i)$

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Nota Bene

- Effectivity w/o efficiency: follows from completeness, compactness and an easy NRC/logical interpretation correspondence
  - Efficiency is the ultimate goal
- Extension of Beth definability for flat queries  $\text{Set}(\mathfrak{U}^k) \times \dots \times \text{Set}(\mathfrak{U}^m) \rightarrow \text{Set}(\mathfrak{U}^n)$ 
  - Can give some ideas for lower bounds

## Use-case #1: inverting a transformation

Consider an *injective* NRC term such as fibs

$$\begin{array}{lcl} \text{fibs} : & \text{Set}(\mathcal{U} \times \mathcal{U}) & \rightarrow \text{Set}(\mathcal{U} \times \text{Set}(\mathcal{U})) \\ & R & \mapsto \{(a, R^{-1}(a)) \mid a \in \text{cod}(f)\} \end{array}$$

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## Use-case #2: views

Assume an imperative extension and a program

$$x := e_1(i); \dots; y := e_2(i)$$

When  $e_2$  is functional in terms of  $e_1$ :

- Compute  $e_2'(x)$  from a proof

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**Caveat:** automation for functionality proofs?

Wlog, we restrict to the following syntax

$$\begin{aligned} t, u &::= x \mid (t, u) \mid \pi_1(t) \mid \pi_2(t) \mid () \\ \varphi, \psi &::= t =_u u \mid t \neq_u u \mid \exists x \in_T t \varphi \mid \forall x \in_T t \varphi \mid \varphi \wedge \psi \mid \varphi \vee \psi \end{aligned}$$

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### Derived formulas

$$\begin{aligned}t \in_T u &::= \exists x \in u. t =_T u & t \subseteq_T u &::= \forall x \in t. x \in_T u \\ t =_{\text{Set}(T)} u &::= t \subseteq_T u \wedge u \subseteq_T t & \dots &\end{aligned}$$

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- Bakes the axiom of extensionality in the definition of  $=_T$
- **No further set-theoretic axioms**

Straightforward variants of the sequent calculus

- Sequents  $\Gamma \vdash \Delta$  with  $\Gamma, \Delta$  lists of  $\Delta_0$  formulas
- Intended semantics:  $\bigwedge_{\phi \in \Gamma} \phi \implies \bigvee_{\psi \in \Delta} \psi$
- Deduction according to proof rules of the shape

$$\frac{\Gamma_1 \vdash \Delta_1 \quad \dots \quad \Gamma_n \vdash \Delta_n}{\Gamma \vdash \Delta}$$

Left and right rules for each connectives + *structural rules* + *cut*

## Examples

$$\exists\text{-R} \frac{\Gamma, t \in u \vdash \phi[t/x], \Delta}{\Gamma, t \in u \vdash \exists x \in u. \phi, \Delta}$$

$$\text{CUT} \frac{\Gamma \vdash \phi, \Delta \quad \Gamma, \phi \vdash \Delta}{\Gamma \vdash \Delta}$$

$$\text{AXIOM} \frac{}{\Gamma, \phi \vdash \phi, \Delta}$$

Certificate that  $\varphi(i, o)$  is an implicit definition: a derivation

$$\cdot; \varphi(i, o), \varphi(i, o') \vdash o = o'$$

$$\begin{array}{c}
 \text{ax} \frac{}{z \in o, x \in X, z \in x; z \in o' \vdash z \in o'} \quad (7) \\
 \Rightarrow\text{-L} \frac{}{z \in o, x \in X, z \in x; \chi(X, x, z), \chi(X, x, z) \Rightarrow z \in o' \vdash z \in o'} \quad (6) \\
 \forall\text{-L} \frac{}{z \in o, x \in X, z \in x; \chi(X, x, z), \forall a \in x (\chi(X, x, a) \Rightarrow a \in o') \vdash z \in o'} \quad (5) \\
 =\text{-SUBST} \frac{}{z \in o, x \in X, z' \in x; z =_u z', \chi(X, x, z), \forall a \in x (\chi(X, x, a) \Rightarrow a \in o') \vdash z \in o'} \\
 \exists\text{-L} \frac{}{z \in o, x \in X; z \in x, \chi(X, x, z), \forall a \in x (\chi(X, x, a) \Rightarrow a \in o') \vdash z \in o'} \\
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 \exists\text{-L} \frac{}{z \in o; \exists x \in X \psi(X, x, z), \Sigma(X, o') \vdash z \in o'} \quad (3) \\
 \forall\text{-L} \frac{}{z \in o; \forall a \in o \exists x \in X \psi(X, x, a), \Sigma(X, o') \vdash z \in o'} \\
 \wedge\text{-L} \frac{}{z \in o; \Sigma(X, o), \Sigma(X, o') \vdash z \in o'} \\
 \subseteq\text{-R} \frac{}{\cdot; \Sigma(X, o), \Sigma(X, o') \vdash o \subseteq o'} \quad (2) \\
 =\text{Set-R} \frac{}{\cdot; \Sigma(X, o), \Sigma(X, o') \vdash o = o'} \quad (1)
 \end{array}$$

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 \text{ax} \frac{}{z \in o, x \in X, z \in x; z \in o' \vdash z \in o'} \quad (7) \\
 \Rightarrow\text{-L} \frac{}{z \in o, x \in X, z \in x; \chi(X, x, z), \chi(X, x, z) \Rightarrow z \in o' \vdash z \in o'} \quad (6) \\
 \forall\text{-L} \frac{}{z \in o, x \in X, z \in x; \chi(X, x, z), \forall a \in x (\chi(X, x, a) \Rightarrow a \in o') \vdash z \in o'} \quad (5) \\
 =\text{-SUBST} \frac{}{z \in o, x \in X, z' \in x; z =_U z', \chi(X, x, z), \forall a \in x (\chi(X, x, a) \Rightarrow a \in o') \vdash z \in o'} \\
 \exists\text{-L} \frac{}{z \in o, x \in X; z \in x, \chi(X, x, z), \forall a \in x (\chi(X, x, a) \Rightarrow a \in o') \vdash z \in o'} \\
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 \end{array}$$

**Proof idea for efficient extraction:** compute an explicit definition by induction over the proof

Certificate that  $\varphi(i, o)$  is an implicit definition: a derivation

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**Proof idea for efficient extraction:** compute an explicit definition by induction over the proof

**Problem:** what invariant?



### The adjectives

Cut-free, intuitionistic, focused

- All of the proofs we are going to be considering are cut-free
- We will ultimately drop the restriction to intuitionistic proofs...
- ...but ultimately enforce focusing anyway

$$\text{CUT} \frac{\Gamma \vdash \phi, \Delta \quad \Gamma, \phi \vdash \Delta}{\Gamma \vdash \Delta}$$

- Intuition: allows to introduce a lemma  $\phi$
- Other intuition: allows to *compose* proofs

### Cut-elimination (Gentzen)

The cut rule does not allow to prove more sequents

- Effective argument, but cut-elimination is expensive  
(lower bound in  $\mathcal{G}_3$  (Buss), i.e. above non-elementary)
- Related to computation in the  $\lambda$ -calculus (Curry-Howard)
- (Easier to define in the sequent calculus than in other systems)
- Cut-free proofs have a nice *subformula property*
- We will require cut-freeness essentially everywhere in the sequel

At the intuitive level, reject the law of excluded middle/reasoning ad absurdum

$$\phi \vee \neg\phi \qquad \neg\neg\phi \implies \phi$$

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- Nicer to work with
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## Conservativity for implicit definitions

If  $\phi(i, o)$  is functional, then there is a formula  $\chi(\vec{x})$  such that the conjoined formula

$$\phi^{\neg\neg}(i, o) \wedge \forall \vec{x}. \chi(\vec{x}) \vee \neg\chi(\vec{x})$$

can be proved to be functional in intuitionistic logic

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Actually non-trivial!!

(I don't know a corresponding efficient algorithm)

A normal form for proofs refining cut-freeness

(Andreoli 90s)

## Rough idea

Decompose proofs by forcing saturations by certain rules in *positive* and *negative* phase.

- Initially motivated by proof-search
- Like cut-elim, does not change provable statements
- To us: restricts the shape of proofs so much it allows to use simpler inductive invariants  
(probably a crutch, but we don't know how to work without it for now)



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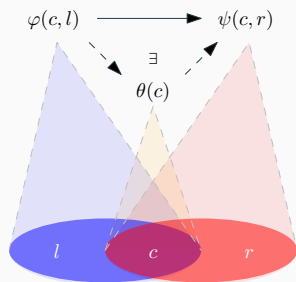
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## Complexity-wise (to the best of my knowledge)

A cut-free proof can be turned into a focused cut-free proof in exponential time.

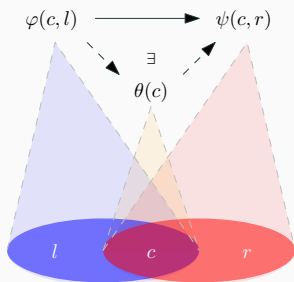


### Craig interpolation

If  $\varphi \Rightarrow \psi$ , there exists  $\theta$  such that

$$\varphi \Rightarrow \theta \quad \text{and} \quad \theta \Rightarrow \psi$$

Further,  $\theta$  mentions *only* variables/relation symbols common to  $\varphi$  and  $\psi$ .



## Craig interpolation

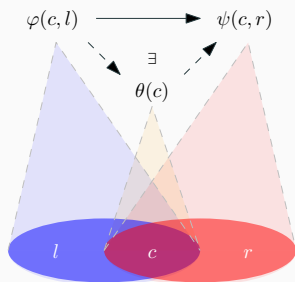
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- Robust result

$\Delta_0$ -interpolation, intuitionistic/linear logic...



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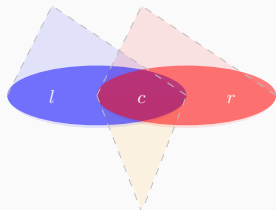
- Robust result

$\Delta_0$ -interpolation, intuitionistic/linear logic...

- $\theta$  linear-time computable from cut-free proofs
- Interpolation  $\Rightarrow$  effective Beth definability

## Our extraction procedure

$\Gamma(c, l), \Delta(c, r) \vdash l \subseteq r$



$\rightsquigarrow \exists e. l \subseteq e(c) \subseteq r$

Suppose  $\Gamma(c, l), \Delta(c, r) \vdash \psi$ .

Then we can compute  $e(c)$  in NRC such that

### Higher-type interpolation Lemma

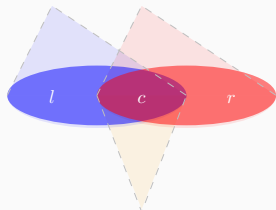
- if  $\psi$  is  $l = r$ , then  $\Gamma, \Delta \vDash l = e \wedge r = e$
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Stronger than standard interpolation

RHS depends on  $l$

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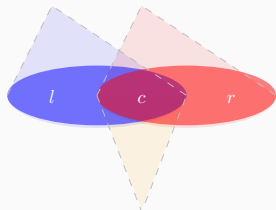
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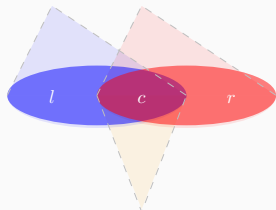
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Induction over the proof-tree; at some key steps

- $\Delta_0$  interpolation
- NRC-definability of  $\Delta_0$ -separation

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Induction over the proof-tree; at some key steps

- $\Delta_0$  interpolation
- NRC-definability of  $\Delta_0$ -separation

Problem: does not generalize well to sequents with multiple conclusions



New strategy: induction over the output type, some tedious proof theory and

### (New and somewhat exciting!) NRC parameter collection theorem

Let  $L, R$  be sets of variables with  $C = L \cap R$  and

- $\phi_L$  and  $\lambda(z)$   $\Delta_0$  formulas over  $L$
- $\phi_R$  and  $\rho(z, y)$   $\Delta_0$  formulas over  $R$
- $r$  a variable of  $R$  and  $c$  a variable of  $C$ .

Suppose that we have a proof of  $\phi_L \wedge \phi_R \Rightarrow \exists y \in_p r \forall z \in c. \lambda(z) \iff \rho(z, y)$

Then one may compute in polynomial time a NRC expression  $E$  with free variables in  $C$  such that

$$\phi_L \wedge \phi_R \implies \{z \in c \mid \lambda(z)\} \in E$$

- By induction over *focused proofs*
- $E$  is a *set* of candidate definitions for  $\lambda$  parameterized over the input  
(reminiscent of a theorem of Chang and Makkai that yields definability from a proof of *fewness* rather than *uniqueness*)

### Lemma

Let  $L, R$  be sets of variables with  $C = L \cap R$  and

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Then one may compute in polynomial time a NRC expression  $E$  and a  $\Delta_0$   $\theta$  over  $C$  s.t.

$$\phi_L \wedge \theta \implies \{z \in c \mid \lambda(z)\} \in E \quad \text{and} \quad \phi_R \vdash \theta$$

Intuitions:

- $\theta$  is an interpolant for a proof we are also computing on the fly
- Focusing allows to keep the invariant rather specific w.r.t. the r.h.s. formula

## Key step: existential rule introducing the "main" formula

With  $\mathcal{G} = \exists y \in p. r. \forall z \in c. \lambda(z) \iff \rho(z, y)$

$$\begin{array}{c} \vee \frac{\Theta_L, \Theta_R, x \in c \vdash \Delta_L, \Delta_R, \neg \rho(x, w), \lambda(x), \mathcal{G}}{\Theta_L, \Theta_R, x \in c \vdash \Delta_L, \Delta_R, \rho(x, w) \Rightarrow \lambda(x), \mathcal{G}} \quad \vee \frac{\Theta_L, \Theta_R, x \in c \vdash \Delta_L, \Delta_R, \neg \lambda(x), \rho(x, w), \mathcal{G}}{\Theta_L, \Theta_R, x \in c \vdash \Delta_L, \Delta_R, \lambda(x) \Rightarrow \rho(x, w), \mathcal{G}} \\ \wedge \frac{}{\Theta_L, \Theta_R, x \in c \vdash \Delta_L, \Delta_R, \lambda(x) \Leftrightarrow \rho(x, w), \mathcal{G}} \\ \vee \frac{\Theta_L, \Theta_R, x \in c \vdash \Delta_L, \Delta_R, \lambda(x) \Leftrightarrow \rho(x, w), \mathcal{G}}{\Theta_L, \Theta_R \vdash \Delta_L, \Delta_R, \forall z \in c. (\lambda(z) \Leftrightarrow \rho(z, w)), \mathcal{G}} \\ \exists \frac{}{\Theta_L, \Theta_R \vdash \Delta_L, \Delta_R, \mathcal{G}} \end{array}$$

- Shape around the root of the tree guaranteed by focusing
- Applying the induction hypothesis we have

$$\begin{array}{l} \Theta_L, x \in c \models \lambda(x), \Delta_L, \theta_1^{\text{IH}} \vee \Lambda \in E_1^{\text{IH}} \quad \text{and} \quad \Theta_L, x \in c \models \neg \lambda(x), \Delta_L, \theta_2^{\text{IH}} \vee \Lambda \in E_2^{\text{IH}} \\ \text{and} \quad \Theta_R \models \neg \rho(x, w), \Delta_R, \neg \theta_1^{\text{IH}} \quad \text{and} \quad \Theta_R \models \rho(x, w), \Delta_R, \neg \theta_2^{\text{IH}} \end{array}$$

- So  $\theta := \exists x \in c. \theta_1^{\text{IH}} \wedge \theta_2^{\text{IH}}$  and  $E := \{\{x \in c \mid \theta_2^{\text{IH}}\}\} \cup \cup \{E_1^{\text{IH}} \cup E_2^{\text{IH}} \mid x \in c\}$  works

## Interpretations and multi-sorted definability

---

Nested collections can be regarded as multi-sorted structures

**An object  $X$  of sort  $\text{Set}(\mathcal{U} \times \text{Set}(\mathcal{U}))$**

**Sorts:**  $\mathcal{U}, \text{Set}(\mathcal{U}), \mathcal{U} \times \text{Set}(\mathcal{U})$

**Function symbols:**  $\pi_1, \pi_2, \langle -, - \rangle$

**Relation symbol:**  $\in_{\mathcal{U}}$

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Can express

- product, disjoint union of structures
- definable substructures and quotients

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## NRC and interpretations

For structures corresponding to nested collections,  
NRC and  $\Delta_0$ -interpretations coincide

Remark: efficient translation from interpretations to NRC

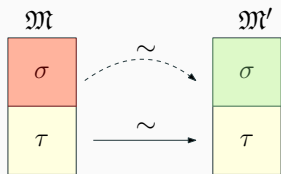
# From multi-sorted implicit definitions to explicit interpretations

Fix a theory  $\Sigma$  over two sorts  $\tau$  and  $\sigma$

Wlog: two sets of sorts

## Multi-sorted implicit definability

$\sigma$  is **implicitly definable from  $\tau$**  when, for every  $\mathfrak{M}, \mathfrak{M}' \models \Sigma$  and bijective homomorphism  $\mathfrak{M}|_{\tau} \cong \mathfrak{M}'|_{\tau}$ , there is a unique extension  $\mathfrak{M} \cong \mathfrak{M}'$





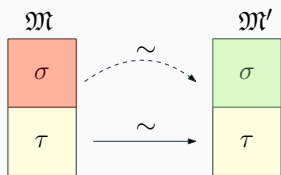
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Reduction for implicit definition of nested transformations: single model where

- $\tau$  contains the input and  $\mathfrak{L}$
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possibly more complex than the input

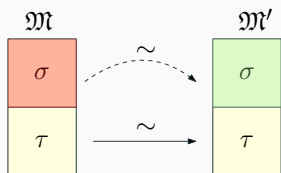
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## Theorem

If  $\sigma$  is implicitly definable from  $\tau$ , there is an interpretation of  $\Sigma$  into  $\Sigma|_{\tau}$

### Natural question

Can we make the multi-sorted theorem effective?

- There is a natural notion of implicitly definable (although non-obvious)
- Effectivity is not an issue, but efficiency is
- (the intuitionistic case is easy)

### Further topics

- Coq formalization with extraction
- Curry-Howard approach to the extraction of NRC terms
- Other settings for extraction from implicit definitions?

``untyped NRC" treated by Sazonov