## CSCM12: software concepts and efficiency Algorithms and their complexity

Arno Pauly (for Cécilia PRADIC) Swansea University, 01/02/2024

- Chapter 3 "Characterizing Running Times" of *Introduction to Algorithms* (4th ed., 2011) by Cormen et. al
- Chapter 2 "Principles of Algorithm Analysis"

of Algorithms in Java (3rd ed., 2004) by Sedgewick

No need to look at the "Basic Recurrences" section for now

#### **Definition** (Vagueish)

An algorithm is comprised of unambiguous instructions for carrying out a calculation.

#### **Definition** (Vagueish)

An algorithm is comprised of unambiguous instructions for carrying out a calculation.

We might deal with numbers, words or more complicated objects.

• Asking when two algorithms are the same is a bit distracting.

- Asking when two algorithms are the same is a bit distracting.
- But we can certainly write the same algorithm in different programming languages.

- Asking when two algorithms are the same is a bit distracting.
- But we can certainly write the same algorithm in different programming languages.
- Figuring out the algorithm you want to use comes before coding.

The specification states **what** we want to compute, the algorithm states **how** we are computing it.

• Programming languages are how we explain algorithms to computers.

- Programming languages are how we explain algorithms to computers.
- They are often less convenient when communicating with humans.

- Programming languages are how we explain algorithms to computers.
- They are often less convenient when communicating with humans.
- Pseudocode is a way to communicate algorithms to humans in a style similar to programming languages.

- Programming languages are how we explain algorithms to computers.
- They are often less convenient when communicating with humans.
- Pseudocode is a way to communicate algorithms to humans in a style similar to programming languages.
- You can get very far in exploring algorithms with pen and paper alone.

#### An algorithmic problem

**Input:** An array A of size n and some integer x **Output:** An index i such that A[i] = x or -1 if there is none

#### Solution #1

```
FindIndex (A, x)

1 res \leftarrow -1

2 n \leftarrow \text{size of } A

3 for i from 0 to n-1 do

4 | \text{ if } A[i] = x \text{ then}

5 | res \leftarrow i

6 return res
```

### Running the first solution

Let us try to run this step-by-step!

```
FindIndex (A, x)1res \leftarrow -12n \leftarrow size of A3for i from 0 to n-1 do4| if A[i] = x then5| res \leftarrow i6return res
```

• A = [2, 4, 7, 7, 10, 15], x = 7

### Running the first solution

Let us try to run this step-by-step!

```
FindIndex (A, x)

1 | res \leftarrow -1

2 n \leftarrow \text{size of } A

3 for i from 0 to n-1 do

4 | \text{if } A[i] = x \text{ then}

5 | res \leftarrow i

6 return res

• A = [2, 4, 7, 7, 10, 15], x = 7
```

• A = [2, 4, 7, 7, 10, 15], x = 11

## Alternative solution 1

	S	olution #2			
	F	indIndex2(A, x)			
1		$res \leftarrow -1$			
<b>2</b>		$n \leftarrow \text{size of } A$			
3		for $i from n-1 down to 0 do$			
4		$\mathbf{if} \ A[i] = x \mathbf{then}$			
5		$res \leftarrow i$			
6		return res			

- Solves the same problem
- Different outputs on our first sample input
- (Roughly the same complexity)

## Alternative solution 2

Solution #3

		$\pi^{0}$		
	F	indIndex3(A, x)		
1		$res \leftarrow -1$		
<b>2</b>		$n \leftarrow \text{size of } A$		
3		$i \leftarrow 0$		
4		while $res = -1$ and $i < n$ do		
5		if $A[i] = x$ then		
6		$res \leftarrow i$		
7		Increment $i$		
8		return res		

- Sometimes more efficient
- But is it significant in practice?

#### A more precise algorithmic problem

**Input:** A sorted array A of size n and some (say, integer) x **Output:** An index i such that A[i] = x or -1 if there is none

• The previous solutions work, but...

```
FindIndexDicho(A, x)
    start \leftarrow 0
    end \leftarrow size of A
    while start < end do
         mid \leftarrow \left\lceil \frac{end+start}{2} \right\rceil
        if A[mid] \leq x then
         start \leftarrow mid
         else
         end \leftarrow mid
    if A/start = x then
        return start
     else
         return -1
```

Given an algorithmic problem:

- Is there an algorithm that solves it? If so is it:
  - feasible?
  - efficient?
  - optimal?

Given an algorithm:

- How efficient is it?
- Is there a more method of getting the same results?

(usable in practice)

### Rules of thumb for measuring efficiency

- Typically, (time) complexity mostly depends on the size of the input
- $\rightarrow\,$  we typically express the time complexity as a function "size  $\mapsto\,$  time"



Note the  $\leq$ : typically we want the **worst-case complexity** for inputs of a given size

- best-case: not very interesting
- average: can be interesting, typically harder to compute though :)

- Can be roughly be done step-by-step.
- Essentially, each piece of a program can be regarded as a mathematical function

(initial) value of variables/memory

$$\widetilde{\text{State}} \longrightarrow \operatorname{State} \times \underbrace{\mathbb{N}}$$

time taken to compute the step

- Essentially: basic arithmetic operations, assignments: cost  $\sim$  1, array allocation  $\sim$  size of the array, loop  $\sim$  sum of the complexities, ...
- $\rightarrow\,$  roughly the number of steps in step-wise execution we've done

There is a notion of  ${\bf space}$  complexity

- Essentially, assign a size to State and compute the maximal size that occurs in an execution
- Unless you are doing big data or embedded system, this is not typically a limiting factor

(RAM is cheap)

• In most scenarii, bounded by time complexity

## Accurate complexity?

# The "time complexity function" we defined might not be *completely* accurate

In practice

- hardware/compiler-dependent behaviors
- not so reliable hardware optimisations

(predictive branching, prefetch, cache misses)

 $\rightarrow$  We had to make compromises

## Accurate complexity?

# The "time complexity function" we defined might not be *completely* accurate

In practice

- hardware/compiler-dependent behaviors
- not so reliable hardware optimisations

(predictive branching, prefetch, cache misses)

 $\rightarrow$  We had to make compromises

However, gives reasonable bounds/estimate

- up to a **constant factor**
- for large inputs

(and that's we care about!)