# CSCM12: software concepts and efficiency Datastuctures for ordered collections

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# Algorithms and datastructures

Starting from today we are going to talk about datastructures

- More complicated datatypes
- Designing more abstractions
  - interfaces
  - invariants

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- Designing more abstractions
  - interfaces
  - invariants

# We will still discuss algorithms and efficiency

- Introducing datastructures → new tools to
  - program efficiently in any context (small/large scale, interactive/batch)
  - representations for input/outputs for algorithmic problems

# **Today**

• Some high-level considerations

(not too long)

- Our first example: linked lists
- If time allows: dynamic arrays, amortized complexity

#### Informal concept, high-level

#### Rough reductionist definition

1. A chunk of memory space layed out in a specified way

(in java, often the attributes an object of a class)

2. A bunch of **operations** 

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Caveat: not the only way to "do datastructure"

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# Purpose?

Language-independent designation for a useful reusable abstraction

Examples: arrays (int[]), dynamic arrays (ArrayList), strings (String)

# Interface and comparing datastructures

What is a good datastructure?

- Depends on the application/purpose
- Point of comparison: the operations

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# Interface and comparing datastructures

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- Depends on the application/purpose
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#### **Issue**

Not all datastructures have the same operations!

Solution: compare across **interfaces** 

# Interfaces (again, informal)

The type signatures of operation and their **specification** 

In Java: often using interface

#### Example: a fragment of the Set interface

- Define an interface for datastructure Set
- Represent a *set* of Ts (caveat: not quite the official java interface)

```
Set(); // creates an empty set
void remove(T e); // removes one element
boolean contains(T e); // do I contain the element?
void add(T e); // add one element
Set union(Set s2); // adds all elements of s2
...
```

#### Non-OO version of the same

#### For didactic purposes

```
Set(); // creates an empty set
static Set remove(Set s, T e); // returns s - {e}
static boolean contains(Set s, T e); // returns whether e is in s
static Set add(Set s, T e); // returns s unioned with {e}
static Set union(Set s1, Set s2); // returns s1 unioned with s2
...
```

But also: useful when considering immutable data

- Mutable = references, immutable = hard data like **int**...
- But for now, we will mostly consider **destructive update**

 $(i.e.\ inputs\ are\ consumed/modified)$ 

(OO meshes well with this, not so well with immutable stuff (see all those **static**))

# Comparing different implementations

Different valid **implementations** for a same inferface

• many parameters for comparison:

 $time/space\ complexity,\ destructive\ or\ non-destructive\ update, \dots$ 

# Comparing different implementations

#### Different valid **implementations** for a same inferface

• many parameters for comparison:

time/space complexity, destructive or non-destructive update, ...

#### Complexities for some implementations of Set (we will compute those later) Op \Data Array List ArrayList TreeSet Set(T) $\mathcal{O}(1)$ $\mathcal{O}(1)$ $\mathcal{O}(1)$ $\mathcal{O}(1)$ $\mathcal{O}(\log(n))$ $\mathcal{O}(n)$ $\mathcal{O}(n)$ $\mathcal{O}(n)$ remove $\mathcal{O}(n)$ contains $\mathcal{O}(n)$ $\mathcal{O}(n)$ $\mathcal{O}(\log(n))$ $\mathcal{O}(n)$ $\mathcal{O}(n)$ $\mathcal{O}(1)$ $\mathcal{O}(\log(n))$ add $\mathcal{O}(1)$ amortized $\mathcal{O}(n+m)$ $\mathcal{O}(n+m) \mid \mathcal{O}(1)$ union $\mathcal{O}(m\log(n))$ $\mathcal{O}(m)$ amortized

#### **Datastructure for collections**

For today, we will be looking at datastructures for ordered collections

- I won't give a formal definition
- but essentially, we are going to look at array-like interfaces

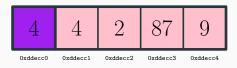
#### **Typical operations**

- Unique conversion to an array
- adding elements (arbitrarily or at a given indexed)
- removing by name/index.

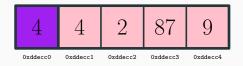
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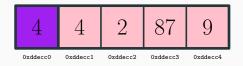
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• reading a cell at a given index is constant-time

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(i.e., caching, nested loop parallelization)

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#### Source of the tradeoff for lists

Non-contiguous representation in memory, but still a linear stucture

# Simply linked lists: high-level idea

#### **Recursive definition**

A linked list is either

- a flag denoting an empty list
- or a cell containing a value and a reference to a linked list



#### Useful vocabulary for non-empty values

- **head** = value of the first cell
- tail = the remainder of the list

# Example implementation in java

We need to use **recursively defined classes** 

```
class MyLinkedList
{
   int head;
   MyLinkedList tail;

   MyLinkedList(int nHead, MyLinkedList nTail) {
     head = nHead; tail = nTail;
   }
}
```

Slight issue: the flag for the empty list

- Can be simulated **null**
- But bad practice here for java

#### In practice

```
Still, let's use that for the lecture
                                           (proper implementation: tedious OO exercise)
   class MyLinkedList {
     int head;
     MyLinkedList tail;
Model our example and get the third element:
  MyLinkedList empty = null;
  MyLinkedList tttail = new MyLinkedList(9,empty);
  MyLinkedList ttail = new MyLinkedList(87,tttail);
  MyLinkedList tail = new MyLinkedList(2,ttail);
  MyLinkedList ex = new MyLinkedList(2,tail);
  int third = ex.tail.tail.head;
```

# Quick comment about the memory layout

#### Not necessarily contiguous!

• Typically elements that are added in quick succession might be close, but this is up to the implementation of **new** 



# Adding an element

The easiest thing is to add an element in front

```
• Non-OO-style:
    static MyLinkedList push(MyLinkedList xs, int x){
      return new MyLinkedList(x, xs);
 • OO-style:
    MyLinkedList push(int x){
      return new MyLinkedList(x, this);
Careful: xs.push(2) does not modify xs
\mathcal{O}(1)!
```

# Inserting an element (OO-style)

Suppose we want to insert an integer x at index i:

• Typically, recursion is nice to operate over recursively defined classes:

```
MyLinkedList insert(int i, int x){
  if(i == 0) then
    return push(x);
  tail.insert(i-1, x);
  return this;
}
```

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```

Complexity: O(i)

# The same with loops

Lists can also be rather easily handled with loops

```
MyLinkedList insert(int i, int x){
  if(i == 0)
    return push(x);
  MyLinkedList previousNode;
  for(tmp = this; i > 1; --i)
    tmp = tmp.tail;
  tmp.tail = tmp.tail.push(x);
  return this;
}
```

#### **Exercises!**

Setting an element at index i  $\mathcal{O}(i)$  Deleting an element at index i  $\mathcal{O}(i)$  Reversing a list of size n  $\mathcal{O}(n)$  Array conversion  $\mathcal{O}(n)$  Concatenating

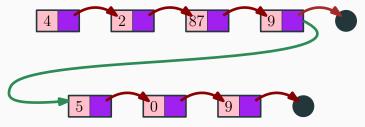
#### **Exercises!**

Setting an element at index <i>i</i>	$\mathcal{O}(i)$
Deleting an element at index $i$	$\mathcal{O}(i)$
Reversing a list of size <i>n</i>	$\mathcal{O}(n)$
Array conversion	$\mathcal{O}(n)$
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#### The issue with concatenation

It seems concatenation should be  $\mathcal{O}(1)$ 

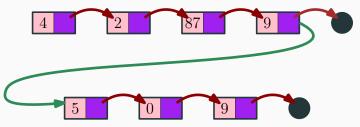
• Just modify the last tail pointer!



#### The issue with concatenation

It seems concatenation should be  $\mathcal{O}(1)$ 

• Just modify the last tail pointer!



Solution: modify the datastructure to include a pointer to the end!

• To check: other operations doable with the same complexity

that happens to be true here

• Similar exercise: adapt the datastructure so that reverse is  $\mathcal{O}(1)$ 

add a boolean to simulate reversing and adapt

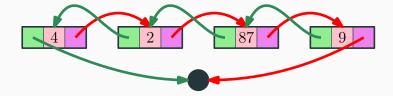
# Representing linked lists in OO properly

```
class MyCell {
  int head;
  MyCell tail;
class MyLinkedList {
  protected boolean empty;
  protected MyCell start;
  protected MyCell last;
  protected boolean flip;
  . . .
```

The recursion is still essential, but not exposed by MyLinkedList.

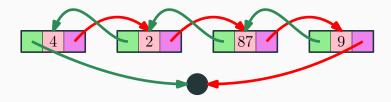
# Further improvement: bidirectional links

Further improvement: doubly-linked lists



### Further improvement: bidirectional links

Further improvement: doubly-linked lists



- In practice, that is what Java does for List<T>
- easier to navigate around

 $\rightarrow$  insertion in  $\mathcal{O}(\min(i, n-i))$ 

• hard to do doubly-linked lists with *non-destructive* updates

(straightforward for singly linked-list, hence why they are useful)

### In java

```
class MyCell {
  MyCell prev;
  int head;
  MyCell next;
class MyDoublyLinkedList {
  protected boolean empty;
  protected MyCell start;
  protected MyCell last;
  protected boolean flip;
```

Op \Data	Array	List
deletion/insertion at i	$\mathcal{O}(n)$	$\mathcal{O}(i)$
getting/replacing the value at <i>i</i>	$\mathcal{O}(1)$	$\mathcal{O}(i)$
concatenating	$\mathcal{O}(n)$	$\mathcal{O}(1)$

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### Consequences:

• Note that everything is linear time

 $(rather\ fast\ in\ the\ grand\ scheme\ of\ things)$ 

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What about batch-processing with unbounded size?

#### Dynamic arrays

The answer is the workhorse behind ArrayList<T>

#### In a nutshell

An overlay on top of an array with a smart memory management policy.

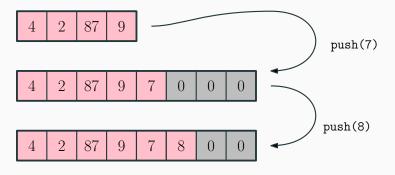
```
public class DynArrayInt {
private int[] internalArray;
private int size;
... }
```

**Invariant**: the size of internal Array is  $= 2^{\lceil \log_2(\text{size}) \rceil}$ 

- This is more than needed
- Idea: plan ahead and reserve some space for future additions

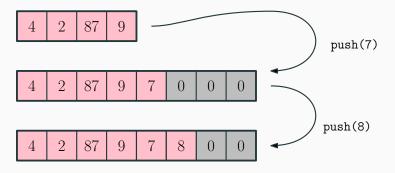
### Adding an element in a dynamic array

Let's picture adding 7 and 8 at the end of our running example:



### Adding an element in a dynamic array

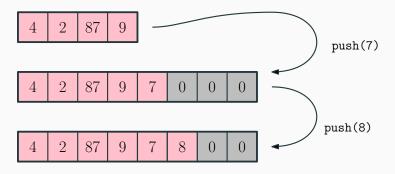
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### Adding an element in a dynamic array

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Sometimes  $\Theta(n)$ , sometimes  $\mathcal{O}(1)$ ...

Constant amortized complexity!	(advanced material, maybe later)
Adding $k$ elements to the empty array is $\mathcal{O}(k)$	

### To wrap up

Worth recalling the example comparison with the examples we have seen:

#### Complexities for some implementations of Set

Op \Data	Array	List	ArrayList
Set(T)	$\mathcal{O}(1)$	$\mathcal{O}(1)$	$\mathcal{O}(1)$
remove	$\mathcal{O}(n)$	$\mathcal{O}(n)$	$\mathcal{O}(n)$
contains	$\mathcal{O}(n)$	$\mathcal{O}(n)$	$\mathcal{O}(n)$
add	$\mathcal{O}(n)$	$\mathcal{O}(1)$	$\mathcal{O}(n)$ $\mathcal{O}(1)$ amortized
union	$\mathcal{O}(n+m)$	$\mathcal{O}(1)$	$\mathcal{O}(n+m)$ $\mathcal{O}(m)$ amortized

Note that this is limited to set operations while we have considered more operations in the lecture (e.g. insertion; synamic arrays are not better than arrays at this)